

Logistik  
LOAV2



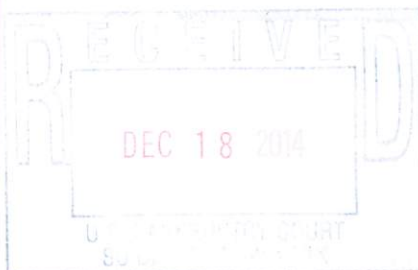
Kontakt  
Telefon  
Fax  
E-Mail  
Adresse

Patrick Heuberger  
044 292 76 57  
044 292 86 64  
patrick.heuberger@zkb.ch  
Neue Hard 3  
8010 Zürich

Briefadresse

**Einschreiben**

United States Bankruptcy Court  
Southern District of New York  
re: Lehman Brothers Holdings Inc.  
One Bowling Green  
New York, NY 10004-1408  
USA



Zürich, 12. Dezember 2014

**Partial Transfer of Claim „Lehmann Brothers Holdings Inc.“**

Dear Sir or Madam

Please find enclosed both the „Form 210A“ and the orgianlly signed „Evidence of Transfer of Claim“ including „Schedule 1“ released by Creidt Suisse AG on August 26th, 2014.

We kindly ask you to process the partial transfer of claim:

ISIN:	ANN521331184
Titel:	Certificate Plus Lehman Br.Sec NV 2005-30.9.08 (EXP.16.9.08)on PHLX Housing Index -In Default-
Nominal:	Unit -45-
Transferor:	Credit Suisse AG
Transferee:	Ernst Keller-Volper
Initial Proof of Claim Number:	55829

Best regards  
Zürcher Kantonalbank

A blue ink signature of Alexandra Schaller, consisting of several loops and a long horizontal stroke.

Alexandra Schaller

A blue ink signature of Demirel Oguzhan, featuring a large, stylized 'D' and 'O' followed by a long horizontal stroke.

Demirel Oguzhan

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Ernst Keller-Volper

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Ernst Keller-Volper  
Studenbühlstrasse 45  
CH-8832 Wollerau  
Switzerland

Phone: +41 44 784 33 67

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: October 29, 2009

Amount of Claim: \_\_\_\_\_

Portion of Claim Transferred (see  
Schedule I): -45- Units

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

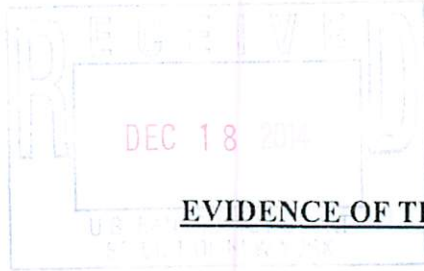
I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Dr. Alexandra Schaller  
Transferee/Transferee's Agent

Sandra Azzolina

Date: 19.11.2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.



EVIDENCE OF TRANSFER OF CLAIM


TO: THE DEBTOR AND THE BANKRUPTCY COURT

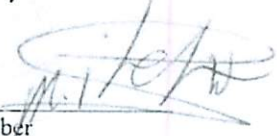
For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to ~~XXXXXXXXXXXXXXXXXXXXXXXXXXXX~~ **Zürcher Kantonalbank AG** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON AUGUST 26, 2014.

Credit Suisse AG

By:   
Name: Adrian Graf  
Title: AVP

By:   
Name: Claudio Sieber  
Title: AVP

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Number of Units or Currency and Nominal Amount</b>
ANNS21331184	55829	October 29, 2009	Lehman Brothers Securities NV	45 Units